I. Policy Summary

This Policy reaffirms long-standing principles and practices of the School of Medicine and New York University, which assign to the Dean stewardship of the School’s resources and responsibility for assignment of academic, research and administrative space of the School. Research space is assigned under the authority of the Dean to promote distinguished scholarly research of departments, institutes, units, and other programs in alignment of NYU Langone Health’s mission and strategy. Space is assigned and reassigned on the basis of program need and strategic priorities, as well as productivity and performance, which is measured using a variety of parameters.

II. Policy Purpose

The purpose of this Policy is to define criteria by which academic, research and administrative space at the School of Medicine is assigned to promote distinguished scholarly research of departments, institutes, units, and other programs in alignment of NYU Langone Health’s mission and strategy.

III. Policy Scope and Applicability

This Policy applies to all academic, research and administrative space of the School of Medicine.

IV. Definitions

A. “NYU Langone Health” includes NYU Langone Health System, NYU Langone Hospitals (including all inpatient and ambulatory facilities), NYU School of Medicine, and all entities that are controlled by any of them, except where specifically excluded.

B. “Research Dollar Density (RDD)” means total and indirect extramurally funded cost recovery per net square foot (nsf).

C. “Research FTE Density” means number of full time equivalent lab staff occupying benches.

D. “R01 Equivalent” means awards with direct costs of $250,000+ annually with indirect rate of over 50%.

E. “School” means NYU School of Medicine.
V. Policy

A. NYU Langone Health research space is assigned by the Dean or his/her designee to promote distinguished scholarly research of departments, institutes, and other programs in alignment of NYU Langone Health’s mission and strategy.

B. Research space assignments to specific departments, institutes, units, or investigators are increased or decreased under the authority and at the discretion of the Dean or his/her designee. Research space is not indefinitely assigned to specific departments, institutes, units, or investigators.

C. Research space is assigned and reassigned by the Dean or his/her designee on the basis of program quality and strategic priorities, as well as productivity and performance, which is measured using a variety of parameters:

1. Research Dollar Density, which in general is expected to be at or above $600 total cost and $200 indirect cost per net square foot for wet research;

2. Research FTE Density, whereby, in general, each full-time equivalent (FTE) lab personnel is assigned one (1) lab work station consisting of a lab bench and a tech desk, while part-time lab personnel staff share work stations;

3. Extramural awards and pending awards, which in general should include at least two (2) R01s or the equivalent per faculty member;

4. The needs of different kinds of research, i.e., office-based/dry, high intensity clinical research, clinical trials, training grants, wet bench, equipment intensive, etc.;

5. The quality of the research space configuration, e.g., efficiency of design and layout, time since its last major renovation; and

6. The quality of research conducted in the space in terms of publications, citations, or other objective measures.

D. Department chairs and institute directors have responsibility for managing faculty research efforts and for allocating research space among department and institute faculty to meet productivity and performance targets.

E. The space assigned to departments, institutes, units, programs, and investigators who do not maintain targeted productivity and performance levels is subject to downsizing and reassignments by the Dean or his/her designee at any time.

1. With the exception of new faculty during the start up period, tenured or tenure track faculty who have no peer-reviewed extramural research support for three (3) consecutive years normally will be required to contract to no more than 150 nsf of academic/research space.
2. Non-Tenure Track faculty without extramural support normally will not be assigned or allowed to retain research space.

F. The Dean and his/her designee, at his/her discretion, may require a department/institute/units/program and/or a faculty member to relinquish space sooner if the prospect of regaining funding is limited and/or extramural support is not being aggressively pursued.

G. Faculty whose research is supported extramurally, but at a level below expectations, are expected to achieve targeted levels or demonstrate a high likelihood of achieving it based on a trajectory of increased extramural salary support and/or expected support based on proposal activity and the quality of grant applications as reflected in peer reviewed critiques. The Dean and his/her designee, at his/her discretion, may assign or downsize the research space of a department/institute/unit/program and a faculty member whose research productivity is below standard and who cannot demonstrate they are on an upward trajectory.

VI. Questions

Any questions relating to this Policy should be directed to NYU Langone Health’s Senior Vice President and Vice Dean for Science or his/her designee.

VII. Policy Enforcement

Violations of this Policy or other applicable NYU Langone Health policies are subject to disciplinary action, up to and including termination of employment or association with NYU Langone Health, in accordance with NYU Langone Health disciplinary policies and procedures applicable to the individual in question, including but not limited to cancellation of, or limitation on, assignment of research space allocated pursuant to this Policy without prejudice to any other sanctions that may be imposed by any disciplinary body within New York University or NYU Langone Health.

IX. Related Policies and Regulations

Guidelines for the Use of University Facilities (approved by University Senate, 1971, revised 1977), affirming responsibility for assignment of academic and administrative space to the School’s administration.

XI. Version History

<table>
<thead>
<tr>
<th>Date</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>February 2, 2012</td>
<td>Original Policy</td>
</tr>
<tr>
<td>February 8, 2018</td>
<td>Current Version</td>
</tr>
</tbody>
</table>